Academic Space Allocation Guidelines

Effective: November 19, 2011
Last Updated: November 19, 2011
Policy Owner: Academic Affairs

Academic space assignment is the purview of the Executive Vice Chancellor for Academic Affairs. Academic space will be used as fully and efficiently as possible. The Minnesota Facilities Model (MFM) space allocation recommendations will be a baseline reference in evaluating requests for space and in justifying the assignment or justification of additional campus space.

Highest priority for the use of space in areas housing classrooms and academic unit offices will be for instruction, offices, and teaching and research laboratories/studios. Student instructional space, including computer laboratories, will be maintained, and expanded if necessary, to satisfy the demand (number of classes, class size, physical requirements, etc.) for such space. Non-instructional space will be assigned according to the general criteria for space allocation presented below. Library and NRRI space will be assigned by the respective directors.

Criteria for use of Non-Instructional Space in Academic Units

1. Office space (and research space as appropriate) will be first assigned to full time faculty.

2. Office space for full-time professional staff supporting academic activities will be provided as the next priority.

3. Remaining office space will be assigned to part-time faculty, teaching/research assistants, visiting faculty, and staff supporting outreach activities. Except under unusual circumstances these individuals will be assigned shared office space.

4. Administrative units and personnel most contingent to the space will have highest priority for its use, according to need.

5. Space for externally funded research and creative activities will be allocated after the above needs have been met. Priority in allocation of space for such (funded) activities will be as follows (highest to lowest priority):

   - Projects that provide direct or indirect cost funds to the campus and identify faculty members as principal investigators or managers.
   - Mission-related grant projects supervised by UMD faculty or professional staff.
   - Outside projects that provide direct or indirect funds to the campus.

6. Whenever possible, space will be provided for student groups, student study and lounge areas, and staff lounge areas.
GOOD ACADEMIC STANDING
Students who have a cumulative University of Minnesota GPA of 2.0 or higher are in good academic standing in the University.

ACADEMIC INTERVENTION or WARNING
Students in good standing with the University but who are not making satisfactory progress as defined by the specific degree program, or whose academic performance declines significantly, may be identified for academic intervention and be required to meet with an academic advisor before registration. Colleges who use academic intervention with students who are not making satisfactory progress in their program may change a student to an undeclared or pre-major code.

ACADEMIC PROBATION
Students with a cumulative University of Minnesota GPA less than 2.0 are placed on academic probation.

ACADEMIC DISMISSAL
Students who fail to achieve a cumulative University of Minnesota GPA of 2.0 during the probationary semester are subject to dismissal from the University. Students with a first-term GPA below 1.0 are subject to dismissal from the University without probation.

READMISSION AFTER DISMISSAL
Students dismissed from the University, who can demonstrate improved academic capability, may petition to be readmitted on a probationary basis after the lapse of at least one semester.

Articulation Agreements
Program faculty are encouraged to enter into discussions with community colleges in Minnesota in an effort to streamline the transition to UMD for community college transfer students. Agreements should be recorded, with signatures, using an articulation agreement that includes:

- Names of articulating institutions
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- Names of articulating programs
- Description/Purpose of the agreement
- Beginning and end dates of the agreement
- Person/office responsible for maintenance of the agreement
- Clear outline of the courses that will transfer to UMD
- Any criteria for admission to the program after transfer to UMD
- Space for signatures by officials of the UMD department and college, the vice chancellor for academic administration, and the chancellor, as well as appropriate personnel from the transfer institution.

Any courses listed for transfer to equivalent UMD courses must also be included in online transfer manuals/systems. Departments may choose to waive a specific course requirement from the transfer institution based on academic experiences that are not equivalent to a specific UMD course.

Class Notes, Appropriate Use of

Effective: **April 29, 2009**
Last Updated: **Approved by EPC February 27, 2002; Amended and approved by EPC April 29, 2009**
Policy Owner: **Academic Affairs**

The faculty of the University of Minnesota encourages students to take and share notes in their classes, laboratories, and the many other instructional settings in which they participate as they pursue their education at the University. Taking notes is a means of recording information but more importantly of personally absorbing and integrating the educational experience. The faculty recognizes that collaborative note-sharing and discussion helps students learn.

However, the organization, preparation, and presentation of materials in a class or other instructional setting represent the intellectual effort of the instructor. Instructors have an interest in protecting this intellectual effort and in assuring the accuracy of any public representations of their course lectures and presentations. The classroom should also be a place where instructors feel free to share with students the full range of information available in their subject areas, including results of new research as it is produced, without concern that such new knowledge will be shared prematurely outside the University learning community. Broadly disseminating class notes beyond the classroom community or accepting compensation for taking and distributing classroom notes undermines instructor interests in their intellectual work product while not substantially furthering instructor and
student interests in effective learning. Such actions violate shared norms and standards of the academic community.

- Students may not distribute, via the Internet or other means, lecture notes or instructor-provided materials, except to other members of the same class or with the express written consent of the instructor.

- Instructors have the right to impose additional restrictions on course materials in accordance with copyright and intellectual property law and policy. (See Board of Regents Policy: Copyright, Board of Regents Policy: Commercialization of Intellectual Property Rights and Administrative Policy: Copyright Ownership.

- While students hold the copyright to their own notes from a course, students may not engage in the sale or widespread distribution of transcript-like notes or notes that are close to verbatim records of a lecture or class presentation. Students may share notes with other students in the same class.

- The provisions of this policy are enforceable as University rules under the Board of Regents Policy: Student Conduct Code.

- If the faculty of a department or collegiate unit, as a group, or individual faculty in a particular course, have assented to or authorized the distribution of lecture notes or instructor-provided materials, such action does not violate this policy.

Class Scheduling Guidelines

Effective: March 16, 2009
Last Updated: March 16, 2009
Policy Owner: Academic Affairs

These guidelines are for the purpose of maximizing the use of instructional space, improving student access to classes, and minimizing campus congestion. The guidelines are designed to apply to most situations, but special circumstances may justify exceptions to these guidelines.

All classes in all terms must comply with policies governing credit standards (academic work per credit and instructional time per credit).

Definitions

**Classroom:** an auditorium, lecture room, or seminar room usable by various disciplines for instructional purposes. General purpose classrooms are rooms that are centrally scheduled by the scheduling office and may or may not have fixed demonstration equipment.

**Teaching/Class Laboratory:** a room with specialized equipment or use that is assigned to a department and used for regularly- or irregularly-scheduled instruction.
Scheduled Use: use of a room for classes with official department identifiers and course numbers for scheduled class and section meetings. Optional meetings, open labs, and tutorial sessions are not included in scheduled use.

Station Occupancy Rate: the percentage of student stations occupied when room is in use. In practice it is computed as the percentage of stations in a room theoretically occupied according to the official enrollment of the course/section. General purpose classrooms are considered "full" at 65% occupancy (Minnesota Facilities Model).

Time Utilization Rate: is the percentage of time that a room is used/scheduled during a specified block of time. The normal block of class time is defined as 8 a.m. to 5 p.m. Monday through Friday. General purpose classrooms are considered fully used at 71% time (Minnesota Facilities Model).

Full Use: General purpose classrooms are defined as fully used when the product of the time and station rates equal 46% (Minnesota Facilities Model).

Guidelines and Protocols

1. Classes will be scheduled each week of the term in 50 minute time blocks (plus a 10 minute break), in configurations appropriate for the class and in a manner to minimize class conflicts for students.

2. Classes will start on the hour (8, 9, 10, etc.) Monday through Friday, except as noted below.

3. Three-credit classes can be scheduled on two days (Tuesday and Thursday) instead of over three days by using 75-minute time blocks on each day. These classes must be scheduled between 8 a.m. and 11 a.m. or between 2 p.m. and 5 p.m.; class periods on this schedule would be 8:00-9:15, 9:30-10:45, 2:00-3:15, and 3:30-4:45. Departments should attempt to schedule two, three-credit courses within the three-hour block to use a classroom most efficiently.

4. Exceptions to these schedule patterns may be allowed for pedagogical reasons and in a manner that will minimize possible conflicts in student schedules. Exception requests should be submitted through the college schedule coordinator to the VCAA office for approval.

5. Departments will schedule classes for students on a five-day per week (Monday through Friday) basis.

6. No more than 55% of a department’s class time shall be scheduled during the prime time hours. This measurement is based on the TOTAL hours, and/or fraction, of instruction by the department between the hours of 8 a.m. and 5 p.m. Prime time hours are defined as the hours between 9 a.m. and 2 p.m.

7. Classes offered in patterns other than approved above will be placed in classrooms after other classes have been placed and if space is available at that time.
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8. When classes cannot be placed, the campus scheduler will work with the department schedule coordinator to reschedule classes. Classes will be rescheduled first in departments which violate prime-time and/or other class schedule pattern rules.

9. If space becomes available in prime time for class placement, classes will be placed in the following order of priority: technology needed, location preference (from the scheduling software preferences), and best fit between class size and room capacity.

10. Academic offerings have priority on the space into which they have been scheduled. Departments should be certain that academic offerings are not displaced from departmental rooms by meetings, conferences, or other occasional activities.

11. The Room Scheduling Office (rooms@d.umn.edu) should be notified immediately if a class is cancelled or if there are any changes in when, where, or how a class is scheduled or delivered so that affected parties can be notified and/or the room can be rescheduled for other activities.

12. The availability of a larger classroom must be verified before faculty give students permission to enroll in a class beyond assigned room capacity.

13. Scheduling of teaching laboratories is the responsibility of the department and collegiate unit to w

Course Enrollment Limits and Cancellation

Effective: April 30, 2012
Last Updated: Approved by EPC December 14, 2011; approved by Campus Assembly April 17, 2012
Policy Owner: Academic Affairs

Enrollment limits for course sections
Departments or programs may set minimum and maximum enrollment limits for any course or any section of a course. Enrollment limits are subject to approval by the dean.

Cancellation of low-enrollment courses
1. Each collegiate unit must maintain a policy regarding the cancellation of low-enrollment courses or sections. These policies may differ across colleges and may allow variations by department. Any such policy must, at a minimum, take into account (a) the effect of cancellation of a course or courses on student academic progress and graduation, (b) the need for a course to contribute to appropriate program breadth and curriculum, (c) commitments made to instructors that a course would be offered, and (d) institutional commitments to outside organizations.

2. In the event that a course is canceled, preferably it would be canceled before the beginning of the term, but no later than the second class meeting of the term.
Course Numbering

Effective: September 1, 2011
Last Updated: Approved by EPC December 15, 2010; approved by Campus Assembly
April 12, 2011
Policy Owner: Academic Affairs

1. Semester courses will have four digit numbers. The first number designates the course level. The numbering system is as follows:

0xxx Courses that are remedial and do not carry credit toward any University degree; however, they may be assessed tuition in the same way as courses that carry credit.

1xxx Course content designed primarily for undergraduate students in their first year of study.

2xxx Course content designed for undergraduate students in their second year of study.

3xxx Course content designed for undergraduate students in their third year of study.

4xxx Course content designed for undergraduate students in their third or fourth year of study; graduate students may enroll in such courses if approved for graduate credit.

5xxx Course content designed for graduate students; advanced undergraduate students may enroll in such courses. 5xxx courses should not be required courses in undergraduate programs.

6xxx Courses for post-baccalaureate students in professional degree programs.

7xxx Courses for post-baccalaureate students in professional degree programs.

8xxx Courses for graduate students.

9xxx Courses for graduate students.

Note: 1xxx courses: 1xxx courses are to be at the introductory collegiate level. They should have few, if any, prerequisites. Notable exceptions are where there is a sequence of courses at the introductory level (e.g., one-year sequence of science).

Note: 3xxx and 4xxx courses: 3xxx and 4xxx courses are considered to be upper division, are to be of a more advanced level, and should require a higher level of sophistication. They may require previous work at the college level (lower division courses or a credit total as a prerequisite).

Note: Use of 4xxx Courses in Graduate Programs: 4xxx courses can be counted for a graduate degree but a graduate program may restrict the use of 4xxx courses in the program (e.g., by stipulating that no more than y credits of 4xxx courses may be counted or by stipulating that only certain 4xxx courses may be counted). Such restrictions may be applied both for 4xxx courses in the major field and for 4xxx courses outside the major field. These are matters left to the discretion of each graduate program.

Note: 6xxx and 7xxx Courses: 6xxx and 7xxx courses are to be used primarily for post-baccalaureate professional programs.

2. All thesis credit courses shall use the following numbering conventions.

8555 Thesis/Project Credits: Master of Fine Arts
8666 Doctoral Pre-thesis Credits
8777 Thesis Credits: Masters
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8888       Thesis Credits: Doctoral

3. Graduate active status courses
GRAD 0999   Active status; 0 credit; student pays basic email charge each semester of enrollment.
8333       FTE: Masters; student is considered a full-time student for assistantship considerations.
8444       FTE: Doctoral; student is considered a full-time student for assistantship considerations.

4. Numbering conventions for other kinds of courses are provided below. Course component should be consistent with the number of the course (component is listed in parenthesis below). Generally, these courses may be repeated for credit.

xx91   Independent Study (IND)
xx92   Directed Reading (DRD)
xx93   Directed Study (DST)
xx94   Research (DRS):

For xx91, xx92, xx93 and xx94 registrations, the student and faculty member will develop a contract to include number of credits, nature of work to be accomplished, time expectations and the number of periodic meetings between the student and faculty member.

xx95   Special Topics: Umbrella course number for specific topics or issues which are timely in nature. An abbreviated course proposal must be submitted for each new title under the Special Topics umbrella course. A department wishing to offer a specific Special Topics title more than twice must submit a course proposal through the regular approval process to convert this title to a regular course.

xx96   Field Study;, Industrial Assignment (FWK): The student will work as an intern in a professional setting. Clear guidelines will be available as to the student’s responsibilities. Grading procedures will be identified: In general, 1 credit requires 40 hours of work in a supervised setting over the course of the term. Full-time (40 hours per week) field study courses should equate to 14 credits (43 hours/cr).

xx97   Internship (FWK or PRC): The student will work as an intern in a professional setting. Clear guidelines will be available as to the student’s responsibilities. Grading procedures will be identified: In general, 1 credit requires 40 hours of work in a supervised setting over the course of the term. Full-time (40 hours per week) field study courses should equate to 14 credits (43 hours/cr).

xx98   Reserved for future use.
xx99   Reserved for future use.

**Dual-listed courses**
A dual-listed course is when a single course is offered at both the 4xxx and 5xxx level. Courses that legitimately meet the needs of undergraduate programs, but are often also used as required or elective graduate courses may be dual-listed 4xxx/5xxx. Students
registered at both levels meet at the same time and place, with the same instructor. Separate syllabi are prepared for each level and will evidence respective evaluation criteria and outcomes for the level of the course.

**Cross listed courses**
A cross listed course consists of a single course offered for registration under two different course designators. A cross listed course must be at the same level (e.g. 1000-level, 3000-level, etc), have the same content, title, description, outcomes, same or equivalent prerequisites, and be offered for the same number of credits. Course proposals and syllabi for the cross-listed courses must be discussed and approved by both departments, collegiate curriculum committee(s), and dean(s) prior to review by EVCAA. A compelling case based on course content and outcomes must be made on the Course Proposal for cross listing a course. The decision by a department to discontinue a cross-listed course is unilateral and must be communicated in writing and routed through the usual curriculum process.

**Credit Standards**

Effective: *September 1, 2011*
Last Updated: Approved by EPC on December 15, 2010
Approved by Campus Assembly April 12, 2011
Policy Owner: Academic Affairs

Credit standards are fundamental to the integrity of University degrees, transferability of courses, curricular transparency, workload assignments, and accountability to state and federal agencies. Therefore, consistency in assigning credit hours to courses is critical.

Long-standing credit hour standards as defined by national organizations for reporting and accountability are becoming inadequate as instruction is increasingly delivered online. This policy maintains the semester credit hour as the standard while broadening its applicability to online and partially online courses. The policy will be reviewed and adjusted as national, state, and accreditation agencies redefine standards for higher education.

1. The number of credits assigned to an individual course is a function of the breadth and depth of subject matter deemed appropriate by the program faculty to achieve the outcomes of the program or the liberal education category, and approved through the regular curriculum review process.

2. Curriculum guidelines permit programs to define the delivery mode(s) approved for a course as follows:
   - Classroom (100% face-to-face)
   - Partially online (4 or more face-to-face class periods per term)
   - Primarily online (1-3 defined face-to-face meeting dates per term)
   - Online (no face-to-face meetings)
The pedagogy should be appropriate for the delivery mode selected for the section of a course.

3. For classroom delivery mode (assuming a 15-week semester)
   - For lecture and discussion course components, 750 minutes will be scheduled, according to classroom scheduling guidelines, per credit hour. This typically is scheduled in fifteen (15) 50-minute periods. The course will be designed and expectations established so that the average student will need to study and/or engage in out of class learning activities an average of two hours outside of class for every hour in the classroom in order to achieve satisfactory performance.
   - Study and/or engage in out of class learning activities include but are not limited to: completing online modules, reading text, viewing recorded lectures, completing assignments, synchronous or asynchronous discussion with the instructor or other students, taking exams, group projects, or service learning.
   - For course components such as laboratory, rehearsal and studio, a minimum of fifteen (15) 50-minute periods will be scheduled, according to classroom scheduling guidelines, per credit hour. This component will be designed and expectations established so that the average student will need to devote an average of three hours total per credit hour to a combination of scheduled time and time to study and/or engage in learning activities outside of the scheduled time in order to achieve satisfactory performance.

4. For partially online delivery mode, the course will be designed to utilize both classroom and online delivery so that the combination requires the student to spend an average of 150 minutes per week per credit.

5. For primarily online or online delivery modes, the course will be designed and expectations established so that in order to achieve satisfactory performance, the average student will need to spend an average of 150 minutes per week per credit for a 15 week-semester or 45 hours per credit per course engaged with the course material in a combination of ways (see 3b above). Completion of laboratory experiences conducted using either digital technology or purchased supplemental materials will require additional time and should be designed with expectations that the time required is comparable to completion of similar activity in a laboratory space.

6. For course components that designate individual study or research, the scope of the study or research will be established to require the average student to work an average of three hours a week per credit for 15 weeks.

7. For course components that designate a working/learning experience, e.g., internship, student teaching, practicum, field work, in general, 1 credit requires 40 hours of work in a supervised setting over the course of the term. Full-time (40 hours per week) field study courses should equate to 14 credits (43 hours/cr).

8. Any departure from these standards must be justified in the course proposal and approved by the college curriculum committee, and collegiate and campus administrators.
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9. The breadth and depth of graduate level work is expected to require additional time to achieve satisfactory performance.

10. For dual listed courses in which, for example, undergraduate students register for the 4xxx level course and graduate/professional students register for the 5xxx level course, workload expectations will be higher for the graduate/professional students. See Course Numbering Policy

Degrees with Distinction and Degrees with Honors

Effective: January 3, 2011
Last Updated: Approved by EPC January 27, 2010; amended and approved by Campus Assembly November 9, 2010
Policy Owner: Academic Affairs

Baccalaureate degree candidates who have done outstanding work may be awarded special honors upon completion of all degree requirements through graduation with Latin honors, conferral of campus honors, conferral of department honors, or any combination of these.

Latin honors are designated as *summa cum laude, magna cum laude*, or *cum laude*. Fifteen (15) percent of students graduating in a collegiate unit will be awarded Latin Honors. Within each college Latin honors shall consist of no more than 3 percent *summa cum laude*, 5 percent *magna cum laude*, and 7 percent *cum laude*. The collegiate unit in which the student is enrolled determines the grade point average requirements for each level of Latin honors.

UMD offers a Campus Honors Program. Students are invited to apply to the program based on class rank, ACT scores, Advanced Placement courses, leadership experience and extracurricular activities. Requirements for graduation with Campus Honors include: a cumulative GPA of 3.3 at graduation; completion of at least three Honors designated courses; completion and presentation of an Honors Capstone project; participation in a minimum of six Honors Program activities events each academic semester.

Departmental honors programs are created by individual departments and approved by the dean. These departments are responsible for a) identifying the criteria for departmental honors, and b) students who, upon graduation, have met all of the requirements of the departmental program.

When the baccalaureate degree is conferred, these achievements are noted, as appropriate, on the student’s transcript in the following ways

- *Latin honors: summa cum laude, magna cum laude, or cum laude*
- *Campus Honors: UMD Honors*
- *Departmental honors: Distinction*

In addition, the achievement of Latin honors and departmental honors are noted on the diploma.
Certain examinations are accepted for credit toward or exemption from equivalent degree program requirements.

**College Level Examination Program (CLEP)**
Students may earn college credit for successful completion of some CLEP examinations which have been reviewed by faculty and determined to assess the content of certain UMD courses. The list of approved CLEP exams is maintained and posted by the Registrar.

**Advanced Placement (AP) and International Baccalaureate (IB)**
High school students may earn college credit by receiving satisfactory examination scores (3, 4, or 5) on the College Entrance and Examination Board Advanced Placement Program examination, or scores (of typically 5, 6, and 7) on the International Baccalaureate examination. Academic departments evaluate whether the material in the AP exam is substantially similar to that of an existing course, and if so, will award credits for that course. If the material is judged not to be substantially similar to an existing course, the academic department will assign general departmental credits. Academic departments may also provide an alternative method for evaluating proficiency in order to award academic credit. The list of approved AP and IB exams and the associated academic credit awards are maintained and posted by the Registrar.

**Departmental Examinations**
Academic departments have the discretion to offer any currently-enrolled, undergraduate degree-seeking student an exam to either demonstrate proficiency or earn course credit. Departments may establish eligibility criteria for an exam for proficiency or credit. The format of these exams is at the department’s discretion (e.g., final examination, oral tests, written papers or projects). A student may not take an exam for credit for a course in which s/he is currently enrolled or one already completed for any grade basis (i.e., A-F, S-N, or AUD status). No department is required to offer exams for proficiency or credit.

1. **Exam to earn course credit**
A departmental exam for credit may be used to earn credit for a course. Credit(s) earned by departmental exam do not earn GPA points and are reflected only within the student’s cumulative credit totals on the transcript (not within the term in which the student completed the exam). Credits earned through examination are not considered as regular, residence, or transfer credits.

The academic department giving the examination will determine the minimum standards for successful completion of an exam for credit. Students are required to pay the credit by special examination fee for exams to earn course credit.
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Work of "C-" quality or better will earn credit with a 'T' posted on the transcript to indicate credit by exam. If the work on the examination is below this level, no notation is made on the transcript. Only credits, not grades, are granted upon successful completion.

Procedures to take an examination for credit are found at: http://www.d.umn.edu/registrar/credit_by_exam.html

2. Exam to demonstrate proficiency
A departmental exam for proficiency may be used to fulfill prerequisites for advanced courses or satisfy other requirements. An exam for proficiency does not yield any course credit or grade. The academic department giving the examination will determine the minimum standards for successful completion of an exam for proficiency. Courses satisfied through proficiency examination do not reduce the total credit requirements for graduation or in the major field.

If proficiency is demonstrated, a notation is made on the student’s transcript that reads, “Course X satisfied by proficiency examination.”

The department determines whether there is a fee for proficiency testing and administers the fee.

Exams Outside of Regular Class Time

Effective: September 3, 2013
Last Updated: Approved by Campus Assembly on May 14, 2013
Policy Owner: Academic Affairs

It is expected that for most courses, examinations will be given during the regularly scheduled class period. For instances where the instructor deems it necessary for additional exam time beyond the normal class period, the following guidelines apply:

- Department head approval is required for any instructor who wants to schedule an exam in an undergraduate course outside of the regular class time.
- Every semester, a list of undergraduate courses in which exams have been scheduled outside of class time should be sent to the collegiate associate dean or dean for review.
- Faculty must indicate on the course syllabus and any other course information that examinations will be scheduled outside of regular class time. The date and time of the exams should be listed.
- When an exam is scheduled outside of a regularly scheduled class period faculty should cancel a normal class meeting time. The cancelled time slot may be used as an optional review period.
- Accommodation must be provided to any student who encounters an academic conflict, such as between an examination scheduled outside of regular class time and the regular class period of another course, or between two exams scheduled to be held simultaneously outside of regular class time.
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- Exams in graduate classes (5xxx and above) may be scheduled at the instructor’s prerogative. The course syllabus should describe the nature of the examinations and the scheduling policy.
- Having students vote on rescheduling an exam outside of normal class hours at a different date or time than what appears on the syllabus is not allowed.

Excused Absences

Effective: January 3, 2011
Last Updated: Approved by EPC April 28, 2010; amended and approved by Campus Assembly on November 9, 2010
Policy Owner: Academic Affairs

Students are expected to attend all scheduled class meetings. It is the responsibility of students to plan their schedules to avoid excessive conflict with course requirements. However, there are circumstances that lead to excused student absence from the classroom.

1. Students may not be penalized when legitimate and verifiable circumstances lead to their absence from attending class or taking a final exam in a credit bearing course. These are subpoenas, jury duty, military duty, religious observances, illness [1], and bereavement for immediate family.
2. NCAA varsity intercollegiate athletics are also considered legitimate and verifiable circumstances for excused absences. Student athletes must provide instructors the team schedule during the first week of the semester.
3. A student must notify instructors of circumstances identified above prior to the expected absence or as soon as the circumstance leading to the absence from class is known. Students must also provide written documentation to verify the reason for the absence.
4. If conditions in (1) or (2) and (3) are met, instructors shall work with the affected student so that he/she can make up required course assignments, complete an alternative assignment, or have the missed assignment excused. This accommodation should be implemented in a reasonable and timely manner.

In addition, there are other circumstances not mentioned above that lead to absence from class. These requested absences may be excused at the instructor’s discretion; students must provide written documentation to verify the reason for the absence.

Appeals Process: The first step of any resolution should be between the parties involved. Department head involvement may be requested to assist in finding a resolution. If satisfactory resolution cannot be found at this level, an appeal can be made first to the Collegiate Dean and then to the Office of the Executive Vice Chancellor of Academic Affairs.

[1] This includes illness of dependents in the student’s care.
Final Exams

All 1xxx-5xxx courses offered for undergraduate credit should include a final graded component or end of term evaluation that assesses the level of student achievement of one or more course objectives. Instructors are encouraged to design the final component or evaluation to be comprehensive and culminating.

1. “Final graded component” or “end of term evaluation” may include a written final examination, project, composition or performance, demonstration of laboratory skills, or presentation.
2. All final graded components are to be administered or due at the time and place according to the final exam schedule and not during the last week of class. Final Exam Week is part of the regular semester calendar.
3. For courses that end at a time other than the end of the term, final graded components are to be administered or due on the last scheduled day of the course.
4. Comprehensive and culminating means the integration and application of knowledge and skills that form the core of the course.

Exemptions to this policy can only be granted by the appropriate department head and college or school dean. Exemption documentation must be kept on file in the dean’s office. Instructors are responsible for informing students of approved deviations from the published final examination schedules.

1. Requests for exemption must be initiated by the instructor of record for the course and forwarded through the department head to the college dean for action. Such requests are considered on a semester-to-semester basis.
2. Requests for permanent exemption for a course for which regular final graded component is inappropriate, such as independent study or seminar courses, should be initiated by the sponsoring department and forwarded to the college dean for action. Such requests, once granted, remain in effect until modified by action of the department.

Examination Scheduling Procedures
There are two formats for final examinations, regular final examinations and common final examinations. All students are responsible for knowing the final examination scheduling information contained in the UMD Class Schedule and the UMD common examination schedule.

Regular final examinations are scheduled in accordance with the time and days of semester class meetings as indicated in the electronic class schedule documents at: http://d.umn.edu/onestop/calendar/final-exam-dates.html. Those classes starting on the quarter or half hour use the closest on-hour start time. Example: for a class which normally meets at 9:15 or 9:30 T,Th, use the exam schedule for 9:00 T, TH. For classes with multiple
start times the final exam shall be determined by the day and time of the earliest scheduled class period in a normal week.

Common final examinations may be scheduled for courses offered in three or more sections and must be requested by the department responsible for the instruction. When one or more common exams are scheduled at the same time, priority is given to the earliest class time, as determined by the Class Schedule. The common examination schedule is published in advance of the semester final examination period.

**Final Examination Conflicts**

UMD policy provides that no student may be required to take more than two final examinations on the same day. The regular final examination and the common examination schedules are constructed to minimize conflicts.

Conflicts are resolved according to the following policy. Regular final examinations take priority over common final examinations and both take priority over examinations that have been shifted to a time deviating from the published examination schedule. When three or more regular final examinations fall on the same day for an individual student, the first and last scheduled examinations on that day take priority over others. When one regular final examination conflicts with two or more common final examinations, the first scheduled common final examination on that day takes priority over other common final examinations. When three or more common final examinations fall on the same day, the first and last scheduled examinations on that day take priority over others. When one or more common final examinations are scheduled at the same time, priority is given to the earliest class time as determined by the regular class schedule.

**Makeup Examinations**

When a student is excused from a final examination because of a conflict of more than two exams scheduled on the same day, a makeup examination will be scheduled during the final examination period on a day and at a time of mutual convenience to the student and faculty member concerned. If mutual agreement cannot be reached, the faculty member may specify any time during the final examination period that does not create additional conflict with the rest of the student’s scheduled examinations.

**Grading and Transcripts**

Effective: January 3, 2011
Last Updated: Approved by EPC October 27, 2010; amended and approved by Campus Assembly on November 9, 2010; revised by EPC on November 9, 2011; approved by Campus Assembly November 22, 2011; revised and approved by EPC on January 25, 2012; approved by Campus Assembly April 17, 2012
Policy Owner: Academic Affairs

This policy may be modified from time to time but existing transcripts will not be modified when there are changes in policy. Changes to the grading and transcript policy will be reflected on the legend on the back of the official transcript.

**A. Establishment and Use of University Grading Systems**
1. There are two distinct grading systems at the University of Minnesota Duluth, A-B-C-D-F (with pluses and minuses as permitted by this policy; see chart below) and S-N. The S-N system is a self-contained alternative to the A-F system and the two may not be combined for a particular student in a particular course. Students may receive grades or symbols only from the grading system under which they have registered for a course. This policy does not require any instructor to use pluses and minuses.

2. There are, in addition, registration symbols identified and described in this policy that carry neither grade nor credit.

3. No college or program is required to offer a course on the S-N grading system.

4. Any unit may choose to limit grades in a particular course to the A-F or the S-N system.

5. When both grading systems are available to a student, he or she must declare a choice of system as part of the initial registration for the course. The choice may not be changed after the end of the second week of classes (the first week in summer sessions).

6. Except as provided in this policy in Section A (7), no college may use any grading systems other than the ones established by this policy.

7. The UM Medical School Duluth is exempt from the provisions of this policy, but will report their grading systems, and any changes therein, to the Office of Academic Administration.

8. The No Grade (NG) grading basis is used for courses where no grade is required, i.e. thesis courses or courses that have no credit value.

**B. Permanent Grades for Academic Work**

1. The list below identifies the possible permanent grades that can be given for any course for which credit is to be awarded. These grades will be entered on a student's official transcript and carry the indicated grade points. (UMD does not award A+ grades, nor are D- grades permitted).

<table>
<thead>
<tr>
<th>Grade</th>
<th>Grade Points</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>4.000</td>
<td>Represents achievement that is outstanding relative to the level necessary to meet course requirements</td>
</tr>
<tr>
<td>A-</td>
<td>3.667</td>
<td></td>
</tr>
<tr>
<td>B+</td>
<td>3.333</td>
<td></td>
</tr>
<tr>
<td>B</td>
<td>3.000</td>
<td>Represents achievement that is significantly above the level necessary to meet course requirements</td>
</tr>
<tr>
<td>B-</td>
<td>2.667</td>
<td></td>
</tr>
<tr>
<td>C+</td>
<td>2.333</td>
<td></td>
</tr>
<tr>
<td>C</td>
<td>2.000</td>
<td>Represents achievement that meets the course requirements in every respect</td>
</tr>
<tr>
<td>C-</td>
<td>1.667</td>
<td></td>
</tr>
<tr>
<td>D+</td>
<td>1.333</td>
<td></td>
</tr>
<tr>
<td>D</td>
<td>1.000</td>
<td>Represents achievement that is worthy of credit even though it fails to meet fully the course requirements</td>
</tr>
<tr>
<td>S</td>
<td></td>
<td>Represents achievement that is satisfactory, which is equivalent to a C or better. The S grade will not carry grade points but the credits will count toward the student's degree program if allowed by the college or program.</td>
</tr>
</tbody>
</table>
| F     | 0.000        | Represents failure and signifies that the work was either (1) completed but at a level of achievement that is not worthy of credit or (2) was not completed and there was
no agreement between the instructor and the student that the student would be awarded an I (see Section C). The F carries 0 grade points and the credits for the course do not count toward any academic degree program. The credit hours for the course will count in the grade point average.

N Represents no credit and signifies that the work was either (1) completed but at a level of achievement that is not worthy of credit or (2) was not completed and there was no agreement between the instructor and the student that the student would be awarded an I (see criteria for I grade). The N carries no grade points and the credits for the course do not count toward any academic degree program. The credit hours for the course do not count in the grade point average.

2. These definitions might not apply to grades awarded to students enrolled in graduate, post-baccalaureate, and professional programs, but the grade points are the same no matter the level or course of enrollment.

3. Instructors are permitted to hold graduate and undergraduate students who are in the same class to different standards of academic performance and accomplishment. The syllabus must make clear what the different standards will be for the different groups of students who may be enrolled in the class.

4. These are the general University standards. In connection with all symbols of achievement, instructors will define for a class, at one of its earliest meetings and as explicitly as possible, the performance that will be necessary to earn each grade.

5. Grades for academic work are based on the quality of the work submitted including when a grade is reduced by the instructor for academic dishonesty. Instructors have the responsibility and authority to determine how final grades are assigned.

C. Incompletes
There will be a symbol I (incomplete) awarded to indicate that the work of the course has not been completed. The I will be assigned at the discretion of the instructor when, due to extraordinary circumstances (as determined by the instructor), the student who has successfully completed a substantial portion of the course’s work with a passing grade was prevented from completing the work of the course on time.

1. The assignment of an I requires a written agreement (http://www.d.umn.edu/vcaa/iiform.pdf) between the instructor and student specifying the time and manner in which the student will complete the course requirements. In no event may any such written agreement allow a period of longer than one year to complete the course requirements, except as provided in section C (7).

2. Work to make up an I must be submitted to the instructor (or the department head) within one year of the last day of final examinations of the term in which the I was given for all students except graduate and professional students. If not submitted by that time, the I will automatically change to an F (if the student was registered on the A-F system) or an N (if the student was registered on the S-N system) for the course. If an I changes automatically to an F or an N, the instructor has the discretion to reinstate the I for one additional year only.

3. For graduate and professional students, an I remains on the transcript until changed by the instructor or department head.

4. When an I is changed to another symbol, the I is removed from the record. Once an I has become an F or an N, under the provisions of the preceding paragraph, it may
subsequently be converted to any other grade, upon petition by the instructor (or the department if the instructor has left the University) to the college.

5. A student does not need to be registered at the University in order to complete the work necessary to convert an I to a grade with credit in the time and manner previously agreed upon between the student and the instructor. The instructor is expected to turn in the new grade within four weeks of the date the work was submitted by the student. (Depending on the timing of when the work is turned in and the ability of the instructor to award a grade, an F or an N may appear temporarily on the transcript.)

6. Students may have a degree conferred with an I for a course(s) that is not required for the degree. A permanent grade may replace the I and be calculated in the degree GPA within 30 days from the end term in which the degree was conferred. Otherwise, the degree GPA is frozen upon graduation but the cumulative GPA will reflect the change in GPA as a result of the grade change.

7. When students are called to active military duty, and reach agreement with their instructor(s) to take an incomplete, they will have up to one calendar year following their discharge from active duty to complete their incomplete(s).

8. Receipt of an I in a course does not create an entitlement for a student to take the course a second time.

D. Scholastic dishonesty

Scholastic dishonesty in any portion of the academic work for a course may be grounds for awarding a grade of F or N for the entire course, at the discretion of the instructor. This provision allows instructors to award an F or an N to a student when scholastic dishonesty is discovered; it does not require an instructor to do so. Students who enroll for a course on the A-F grading system will receive an F if such grade is warranted; students who enroll for a course on the S-N system will receive an N if such grade is warranted. (See Board of Regents Policy: Student Conduct Code, Academic Integrity, for a definition of scholastic dishonesty.)

E. Other Transcript Symbols

1. Credit by Exam. There will be a symbol T posted as a prefix to the original grade, to indicate credits awarded by test.

2. Auditing a course.
   1. There will be a symbol V, visitor, indicating registration as an auditor or visitor, which will carry no credit and no grade.
   2. Students auditing a course are required to pay full tuition but do not take exams and are not required to do homework. An auditor is entered on the class roster (grade report), is counted as filling a seat in a controlled entry course, and is counted in an instructor's student contact hours.
   3. Students may not sit in on a course without registering for it.
   4. A student will be allowed to take a previously audited class for a grade.

3. Withdrawing from a course.
   1. If a student cancels registration in a course during the first two weeks of classes, there will be no record of that course registration entered on the student's transcript.
   2. There will be a symbol W, withdrawal, entered on the transcript irrespective of the student's academic standing in that course, if the student withdraws
from the course during the third through eighth week of class or during the second or third weeks of summer sessions.

3. Except as provided in the preceding section, withdrawal after the deadlines will require approval of the college and may not be granted solely because a student is failing the course; there must be extenuating non-academic circumstances justifying late withdrawal.

4. **Continuation course.** There will be a symbol X, indicating a student may continue in a continuation course in which a grade cannot be determined until the full sequence of courses is completed. The instructor will submit a grade for each X when the student has completed the sequence.

5. **No Grade.** There will be a symbol, NG, posted for courses in which no grade is required.

### F. Repeating Courses

Students may retake a course in which they received a grade of a C- or lower or an N. Those wishing to retake a course in which they earned a grade of a C or higher or an S must obtain department approval before registering for the course through a Permission to Retake a Course (or Equivalent Course) form.

1. **Within the University of Minnesota System.** Students may choose either to retake the UMD course at UMD or to take an approved equivalent course anywhere else within the University of Minnesota system. The latter requires department approval prior to registration through a Permission to Retake a Course Using an Equivalent Course form. Only the last grade recorded is used in calculating the University of Minnesota GPA. Only the most recently completed credits can be applied toward graduation requirements.

2. **Outside the University of Minnesota System.** UMD students may take an equivalent course at an institution outside of the University of Minnesota system to replace a course previously completed at UMD only if department approval is granted before registering for the course through a Permission to Retake a Course Using an Equivalent Course form. Although this course may be used to meet UMD degree requirements, its grade will not be included in the student’s University of Minnesota GPA. Only the most recently completed credits can be applied towards graduation requirements. A notation will be added to the transcript that the UMD course was repeated at another institution.

3. When a student repeats a course before receiving his/her degree, (a) both grades for the course will appear on the official transcript, (b) the course credits will not be counted more than once toward degree and program requirements, and (c) only the last enrollment for the course will count in the student's grade point average. This does not apply to courses (1) using the same number but where students study different content each term of enrollment and (2) to courses designated as "repetition allowed."

4. If an undergraduate student repeats a course after his/her degree has been awarded, the original course grade will not be excluded from the degree GPA nor will the new grade be included in the degree GPA.

5. Bracketing is the practice of not including a course in the calculation of a student's GPA and not counting the course as satisfying any degree requirements, including electives, because a student has repeated a course. When a student repeats a course, all prior attempts are bracketed and only the most recent attempt
counts. An F grade earned in a course may not be bracketed with an N grade earned when the course is repeated. Any grade earned in a course may be bracketed with an S grade earned when a course is repeated.

6. When a student enrolled in the Graduate School repeats a course, provision 3 applies, but all grades for the course will be counted in the student's grade point average.

G. Other Provisions

1. **Zero-credit courses.** Courses that carry zero credits do not count in either term or cumulative grade point averages. Such courses carry normal tuition and fee charges.

2. **Releasing transcripts.** The University's official transcript, the complete and chronological record of the student's enrollment and academic performance, will be released by the University only at the request of the student or in accord with state or federal statutes.

3. **Grade point average.** Every student will have calculated, both at the end of each grading period (quarter or semester) and cumulatively, a grade point average, which will be the ratio of grade points earned divided by the number of credits attempted with grades of A-F (including pluses and minuses). Both the term and cumulative grade point average will appear on each student's record.

4. **Final grade due date.** Final grades will be submitted to the Registrar no later than three business days following the date of the last scheduled final examination for the term.

5. **Transcript Text.** Text notations may be entered to the transcript to describe specific events. Any requests for a new category of notation to be included on the transcript must be approved by the Registrar in coordination with Academic Affairs.

**High School Prep Requirements**

Effective: January 3, 2011
Last Updated: Approved by EPC on April 28, 2010; amended and approved by Campus Assembly on November 9, 2010; revised and approved by EPC March 7, 2012; approved by Campus Assembly April 17, 2012
Policy Owner: Academic Affairs

These University undergraduate admission requirements were prepared and adopted in conjunction with the University system and Minnesota State Colleges and Universities.

1. **ENGLISH** - Four years, including writing, literature, and speech. Within the writing component, students may elect work in composition, creative writing, journalism, or research writing. Literature may include both American and world literatures; speech may include both public speaking and debate.

2. **MATHEMATICS**
UMD Academic Policies – 2013-2014

- For any student seeking admission before fall 2015: Three years consisting of two years of algebra, one of which must be intermediate or advanced algebra, and one year of geometry.
- For any student seeking admission fall 2015 and thereafter: Four years, including two years of algebra, one of which must be intermediate or advanced algebra, and one year of geometry.

3. SCIENCE - Three years, including at least one course each in the biological and physical sciences, and all three units to incorporate significant laboratory experience. The biological and physical science requirements would most commonly be met by courses in biology, chemistry, and physics. Other courses could include advanced biology, human anatomy and physiology, botany, zoology, geology, and advanced chemistry and physics.

4. SOCIAL STUDIES - Three years, including one year each of geography and American history. Geography need not always be taught as a full year course, and may in fact be incorporated in a significant way into other studies; transcripts should indicate specifically which courses meet the geography requirement.

5. WORLD LANGUAGE - Two years of a single second language.

6. ARTS - One year in the visual or performing arts including instruction in the history and critical interpretation of the art form. Courses in the arts should offer students the opportunity to experience the arts directly as creators/performers and as critical, informed observers.

Additional study in mathematics and science is highly recommended.

Students should consult their college/program policies for more specific requirements.

In exceptional cases, UMD admits students deficient in these requirements with the expectation that any deficiencies will be made up before 60 credits, including transfer credits, are earned. Any student admitted as an exception to this policy will be unable to graduate until the requirement is fulfilled.

Holds

Effective: 
Last Updated: June 29, 2009
Policy Owner: Academic Affairs

The University may impose holds on student records for financial, judicial, or academic reasons.

Holds may be placed on a student's record under the following circumstances:
UMD Academic Policies – 2013-2014

- In order to assist the student, advisers may at any stage during a student's academic career impose a hold on his or her record that affects the student’s ability to register when appropriate for advising purposes.

- The University may place a hold on a student's record for a violation of Board of Regents Policy: Student Conduct Code or for failure to meet financial obligations to the University (for example, unpaid bills, library fees, unreturned keys).

- Academic Affairs may designate other appropriate reasons for the University to place a hold on a student’s record.

A hold ordinarily will prevent a student from obtaining an official transcript or registering for courses or making changes to courses for which they have already registered.

To remove a hold from a student record, the student must first pay the debt owed; correct the deficiency or problem; or be cleared by the Office of Student and Community Standards.

Inactive Courses

Effective: Reviewed and posted, March 23, 2009
Last Updated: March 23, 2009
Policy Owner: Academic Affairs

If a course is described in our catalog, there is a reasonable expectation that it would be scheduled on a regular basis. The University catalog will not include courses that have not been offered in the past two years and are not scheduled in the upcoming year.

Courses that do not meet the scheduling criteria outlined above will be changed to inactive status in the Electronic Course Authorization System (ECAS) and as a result, will not appear in the online catalog. If a department plans to put an inactive course back into rotation, its status can be changed to active by memo to EVCAA.

Maintaining Course Records

Effective: April 30, 2012
Last Updated: Approved by EPC on November 30, 2011; approved by Campus Assembly April 17, 2012
Policy Owner: Academic Affairs

1. Student work which has not been returned to the student by the end of the semester should be retained by the instructor for a minimum of 30 days into the next semester (not including summer, so a request in the spring would require a unit to hold the work until 30 days into the following fall semester) in order to permit students the opportunity to retrieve or review their work, as appropriate. After the retention period, such student work must be discarded securely (following applicable University document-destruction procedures).
UMD Academic Policies – 2013-2014

2. Instructors must follow state and federal privacy laws in retaining and returning student work. (For example, student work may not be left in hallways or other public places where anyone may see it.)

3. Academic departments must retain grade books or their equivalents for a minimum of one year or, if a grade is appealed, until the end of the appeal. Instructors leaving the University must give all grading records to the department.

4. Academic units must also be aware of and follow Administrative Policy: Managing University Records Retention.

Mid-Term Alerts for Academic Performance

Effective: June 1, 2009
Last Updated: June 1, 2009
Policy Owner: Academic Affairs

1. Instructors in all 1-XXX and 2-XXX courses will provide a mid-term alert for students who, on the basis of performance to date in the course, appear to be in danger of receiving a grade of D, F, or N. Such notification will be provided no later than the end of the eighth week of the semester and earlier if possible, to allow students to improve their classroom performance or to withdraw by the eighth week. Mid-term alerts will not be recorded on transcripts.

2. Instructors are encouraged to provide mid-term alerts for all other courses.

3. The provision of mid-term alerts is a courtesy to the student. Failure to receive a mid-term alert does not create the right for a student to contest a grade in a course.

Prerequisites

Effective: July 23, 2009
Last Updated: July 23, 2009
Policy Owner: Academic Affairs

Procedure/Annotations: Course proposals should indicate whether a prerequisite is enforced, printed in the class schedule, or both. Prerequisites are enforced by the registration system at the point of registration; students will not be able to register unless the prerequisite course is on the student academic record. Note: transfer credit is not included on the academic record that feeds into the registration system. Students who completed the prerequisite from a transfer institution will not be able to register in a course that enforces the prerequisite without instructor of department permission.

1. Departments and colleges should be selective in determining prerequisites for courses. Prerequisites should not be set for a course except in progressive, sequence courses or
where departments can clearly demonstrate that a student will not be able to complete the course successfully without first completing the prerequisite course work.

2. Where prerequisites have been set, catalogs and course materials must list them and advise students to take only those courses for which the prerequisites have been met.

3. Where prerequisites have been set, instructors may require that any student who has not taken the specified prerequisites for the course must withdraw. Instructors may, however, grant permission, on an individual basis, for a student to take a course without having taken the prerequisite(s)

Recommended Policy Statements for Syllabi

The following academic policies relate to specific aspects of teaching and learning. In addition to including a reference to these policies as part of the syllabus, instructors are encouraged to discuss elements of the policies particularly applicable to their courses.

Instructors may:

- Copy the exact language provided below, or
- Include references to the policies, or
- Include statements on the following policies in the syllabus.

**Student Conduct Code:**
Appropriate classroom conduct promotes an environment of academic achievement and integrity. Disruptive classroom behavior that substantially or repeatedly interrupts either the instructor’s ability to teach, or student learning, is prohibited. Student are expected adhere to Board of Regents Policy: Student Conduct Code: [http://www1.umn.edu/regents/policies/academic/Student_Conduct_Code.pdf](http://www1.umn.edu/regents/policies/academic/Student_Conduct_Code.pdf)

**Teaching & Learning: Instructor and Student Responsibilities:**
UMD is committed to providing a positive, safe, and inclusive place for all who study and work here. Instructors and students have mutual responsibility to insure that the environment in all of these settings supports teaching and learning, is respectful of the rights and freedoms of all members, and promotes a civil and open exchange of ideas. To reference the full policy please see: [http://www.d.umn.edu/vcaa/TeachingLearning.html](http://www.d.umn.edu/vcaa/TeachingLearning.html)

**Academic Integrity:**
Academic dishonesty tarnishes UMD’s reputation and discredits the accomplishments of students. Academic dishonesty is regarded as a serious offense by all members of the academic community. UMD’s Student Academic Integrity Policy can be found at: [http://www.d.umn.edu/conduct/integrity/](http://www.d.umn.edu/conduct/integrity/)

**Final Exams:**
All 1xxx-5xxx courses offered for undergraduate credit should include a final graded component or end of term evaluation that assesses the level of student achievement of one
or more course objectives. All final graded components are to be administered or due at the
time and place according to the final exam schedule and not during the last week of class.
To reference the full policy please see: http://www.d.umn.edu/vcaa/FinalExams.html

**Excused Absences:**
Students are expected to attend all scheduled class meetings. It is the responsibility of
students to plan their schedules to avoid excessive conflict with course requirements.
However, there are legitimate and verifiable circumstances that lead to excused student
absence from the classroom. These are subpoenas, jury duty, military duty, religious
observances, illness, bereavement for immediate family, and NCAA varsity intercollegiate
athletics. For complete information, please see: http://www.d.umn.edu/vcaa/ExcusedAbsence.html

**Appropriate Student Use of Class Notes and Course Materials:**
Taking notes is a means of recording information but more importantly of personally
absorbing and integrating the educational experience. However, broadly disseminating class
notes beyond the classroom community or accepting compensation for taking and
distributing classroom notes undermines instructor interests in their intellectual work
product while not substantially furthering instructor and student interests in effective
learning. For additional information, please see: http://www.d.umn.edu/vcaa/ClassNotesAppropriateUseof.html

**Student Academic Complaint Resolution**

Effective: November 22, 2011
Last Updated: Approved by EPC on October 12, 2011, Approved by Campus Assembly
November 22, 2011
Policy Owner: Academic Affairs

**Scope and Purpose**
This procedure implements Board of Regents Policy: Conflict Resolution Process for Student
Academic Complaints and outlines the resolution process. Academic complaints are
complaints brought by students regarding the University’s provision of education and
academic services affecting their role as students. Academic complaints must be based on a
University rule, policy, or established practice claimed to be violated. (This policy does not
limit the University’s right to change rules, polices, or practices.)

This procedure does not apply to student complaints regarding:
- University employment
- Disciplinary action under Board of Regents Policy: Student Conduct Code (with the
  exception of academic dishonesty)
- Grades
- Applicant complaints regarding University admission decisions

This policy provides a process that allows for both informal and formal resolutions of
conflicts. Resolutions may include student reinstatement or other corrective action for the
benefit of the student, but may not include monetary compensation or take disciplinary
action against any employee of the University. If, as a result of the outcome of a student complaint, discipline is being considered, the appropriate disciplining member of the administration or his/her designee who will follow the procedures in the relevant contracts, and where applicable, will conduct a separate investigation.

**Informal Resolution**

The first step of any resolution should be at the lowest level, between the parties involved or the parties and an appropriate administrator at that lowest level. If the issue is not resolved informally, the student may seek formal resolution.

**Formal Resolution**

Each college unit designates an academic complaint officer (generally the associate or assistant dean) who reviews formal complaints, interviews the parties involved, and recommends a course of action to the dean, who provides a formal resolution. In the case of involved units without an established faculty, the complaint officer will be a member of that staff.

**Steps:**

- The complaint should be filed in the college unit in which the incident occurred.
- The complaint must be submitted in writing to the appropriate complaint officer, identifying the complainant, the respondent(s), the incident, the rule/policy/established practice claimed to be violated, and a brief statement of the desired outcome.
- The unit academic complaint officer conducts the investigation and makes a recommendation to the dean of the college, who provides a formal resolution.
- If the complainant is not satisfied with the Dean’s decision, an appeal may be made to the Associate Vice Chancellor of Academic Affairs who will review materials from the investigation and the rationale of the college dean’s decision to determine whether there are sufficient grounds to hold an appeal hearing.

The decision of the Associate Vice Chancellor of Academic Affairs is final.

Complaints arising from actions of college deans will be resolved as outlined below:

**Steps:**

- The complaint must be submitted in writing to the Associate Vice Chancellor of Academic Affairs identifying the complainant, the respondent(s), the incident, the rule/policy/established practice claimed to be violated, and a brief statement of the desired outcome.
- The Associate Vice Chancellor conducts the investigation and recommends a course of action to the Executive Vice Chancellor, who provides a formal resolution.
- If the complainant is not satisfied with the decision of the Executive Vice Chancellor, an appeal would go to the UMD Chancellor who will review materials from the investigation and the rationale of the vice chancellor’s decision to determine whether there are sufficient grounds to hold an appeal hearing.

The decision of the chancellor is final.

**Timelines**

- All complaints must be filed within 90 calendar days after the incident causing the complaint occurred. A response to the complaint must be filed within 15 working days.
• The Dean (or Vice Chancellor if the respondent is a Dean) shall provide a formal resolution, if required, within 30 working days of the date formal action is requested.
• Appeals of the Dean’s (or Vice Chancellor’s if the respondent is a Dean) actions must be filed within 15 working days.
• The Associate Vice Chancellor (or Chancellor if the respondent is a Dean) shall provide a final resolution, if required, within 30 working days of the receipt of an appeal.
• Timelines may be adjusted if there are compelling reasons for delay offered by any of the parties.

Student Academic Integrity

Effective: November 22, 2011
Last Updated: Approved by EPC October 12, 2011; Approved by Campus Assembly November 22, 2011
Policy Owner: Academic Affairs

Introduction
Academic dishonesty tarnishes UMD’s reputation and discredits the accomplishments of students. UMD is committed to providing students every possible opportunity to grow in mind and spirit. This commitment can only be fulfilled in an environment of trust, honesty, fairness, respect, and responsibility. As a result, academic dishonesty is regarded as a serious offense by all members of the academic community. All faculty, staff, and students are expected to maintain the highest levels of academic integrity.

Scope and Purpose
This policy addresses violations of academic integrity by one or more members of the UMD student academic community. This policy is consistent with the Board of Regents Student Conduct Code. "(1) Scholastic Dishonesty: Scholastic dishonesty means plagiarism; cheating on assignments or examinations; engaging in unauthorized collaboration on academic work; taking, acquiring, or using test materials without faculty permission; submitting false or incomplete records of academic achievement; acting alone or in cooperation with another to falsify records or to obtain dishonestly grades, honors, awards or professional endorsement; altering, forging, or misusing a University academic record; or fabricating or falsifying of data, research procedures, or data analysis."

Prohibited Content
All forms of academic dishonesty are prohibited, including (but not limited to):

• submission of false records of academic achievement
• cheating on assignments or examinations
• submitting sentences or ideas as your own without proper acknowledgment or citation (plagiarizing)
• altering, forging, or misusing a University academic record or forging the signature of any member of the University community
• taking, acquiring, using, or circulating test materials without faculty permission
• acting alone or in cooperation with another to falsify records or to obtain dishonestly grades, honors, awards, or professional endorsement
• facilitating academic dishonesty by knowingly assisting another student to violate the Student Academic Integrity Policy, such as providing course work for another student to turn in as his or her own effort or taking an exam for another student
• presenting as one's own a plot, succession of ideas, or list/outline of another without proper acknowledgment
• attending a class, completing an assignment, or taking a quiz/test in the name of another student
• altering or viewing computer records, dispensing or releasing information gained via unauthorized access, modifying computer programs or systems, or interfering with the use or availability of computer systems or information (refer to UMD policy)
• purchasing or otherwise presenting work as your own when it was done by another person
• submitting the same paper or work (or generally similar papers or work) to meet the requirements of more than one course without the approval and consent of faculty
• depriving another student of necessary study or research materials or in any way impeding another student's work and pursuit of education
• submitting falsified data, such as bibliographic resources and experimental data or altering graded academic work/quizzes/tests and resubmitting them in order to get a higher grade
• use of electronic devices for the unauthorized assistance in academic work, quizzes, or tests
• distribution or sale of video, audio, or transcript-like notes of lecture or course presentations.

Procedure for Handling Violations
Academic dishonesty violates the Board of Regents Student Conduct Code. Violations of academic integrity will be adjudicated by faculty and academic administrators. In addition, the UMD Student Conduct Officer maintains a record of violations, and will notify the student of the appeal process.

Upon a suspected violation of this policy, the faculty member will schedule a meeting with the student about the violation. If the faculty member decides to take action, the faculty member is responsible for imposing a sanction, and must file the Report of Academic Dishonesty with the UMD Student Conduct Officer who advises the student of the appeal process, and that this event has been noted as a Student Conduct Code violation. If the student refuses to meet or disagrees with the faculty member, the faculty member completes the Report of Academic Dishonesty form, including the sanction imposed, and forwards it to the Student Conduct Officer who advises the student of the appeal process, and that this event has been noted as a Student Conduct Code violation.
A student who disagrees with the allegations or the sanctions may utilize the Student Academic Complaint Resolution process. Examples of faculty sanctions include but are not limited to:
• additional work
• grade reduction on an assignment/quiz/test, including an F
• grade reduction in the class, including an F
• re-examination
• other sanctions deemed appropriate by faculty member

Faculty members are encouraged but not required to notify the department head when sanctions are imposed.
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Multiple Violations
Academic integrity violations are adjudicated by faculty and academic administrators; however, they are considered as violations of the Student Conduct Code and are monitored by the UMD Student Conduct Office. A student with multiple academic integrity violations will be reported to UMD Academic Affairs where an appropriate officer may recommend any possible sanctions available under the Student Conduct Code which may include:

- warning/reprimand
- withdrawal of University funding
- suspension from the University for a given period of time
- permanent expulsion from the University
- other sanctions deemed appropriate by the academic affairs officer

Timelines
1. The faculty member must request a meeting with the student within ten working days of becoming aware of the offense.
2. All reports of academic dishonesty should be filed by the faculty member within ten working days of imposing the sanction.
3. A student who disagrees with the faculty member's sanction has ten working days from the date the Report of Academic Dishonesty was submitted to begin the complaint resolution process.
4. An academic affairs officer who has been notified of multiple-offense cases in which the student has accepted the sanction or a final resolution has been completed will request a meeting with the student within five working days from the date of the referral from Student Conduct.
5. A student who disagrees with the academic integrity officer's sanctions has ten working days from the date on the sanction letter to request, in writing to the academic affairs officer, a formal hearing. A Grievance Committee consisting of at least three members will be appointed to consider the appeal.

Any of these timelines may be adjusted by mutual consent. Winter, spring, and summer breaks are taken into account.

Syllabus Policy
Effective: January 3, 2011
Last Updated: Approved by EPC April 28, 2010; amended and approved by Campus Assembly November 9, 2010
Policy Owner: Academic Affairs

During the first week of classes each instructor must provide a course syllabus in written or electronic form to every student in each course [1] that s/he teaches. In order to help students complete the course to the best of their abilities, the syllabus must contain:

1. Course title and number, and the current semester.
2. Class meeting times and location(s).
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3. Instructional staff names and contact information: office hours, office location, phone number, and e-mail address.
4. Course pre-requisites, as applicable.
5. Required course materials such as text book(s), online readings, software, computer use.
6. Type and relative weight of each graded course component (e.g. exams, quizzes, homework, papers, presentations, participation in discussion, blogs, attendance). Approximate dates should be included.
7. Final exam date and time. If a common final exam is to be given, date, time, and location should be announced as soon as the common exam is officially scheduled.
8. Special outside-of-class requirements as applicable (e.g. field trips, performances, service learning, exams outside of regular class time).
9. Attendance requirements and penalties for non-attendance, if any. [2]
10. Policy on late and make-up work.
11. Statement on participation by students with disabilities.
12. Supplemental course materials as applicable.
13. If the course is a liberal education course, state the category that the course fulfills.

(Includes Student Conduct Code, Teaching and Learning, Academic Integrity, Final Exams, Excused Absence, Appropriate Use of Class Notes).

15. Student learning outcomes as they relate to the course objectives.

[1] A university course that is offered to an individual student is designated by one of the following course numbers: xx91 (Independent Study), xx92 (Directed Readings), xx93 (Directed Study), xx94 (Research) and xx97 (Internship). The instructor of any of these courses must provide in writing the number of credits, nature of the work to be accomplished, time expectations, the number of periodic meetings between the student and faculty member and Item 14 above. In addition, appropriate information from the list above should be included in the written agreement.

[2] See also the Excused Absence Policy listed in Item 14.

Teaching & Learning: Instructor and Student Responsibilities

Effective: January 3, 2011
Last Updated: Approved by EPC on April 14, 2010; approved by Campus Assembly November 9, 2010
Policy Owner: Academic Affairs
UMD is committed to providing a positive, safe, and inclusive place for all who study and work here. A central mission of the university is to educate students through the offering of courses and programs leading to the conferral of degrees. Teaching and learning at the university take place in a variety of educational settings including on-campus lecture halls and classrooms, laboratories, field sites, and online. Instructors and students have mutual responsibility to insure that the environment in all of these settings supports teaching and learning, is respectful of the rights and freedoms of all members, and promotes a civil and open exchange of ideas. Making hostile, threatening, discriminatory or disparaging remarks toward or about the instructor, other members of the class or groups of people will not be tolerated.

I. Instructor Responsibilities
A. Provide a respectful teaching and learning environment.
Instructors are responsible for establishing and maintaining a civil and productive learning environment. To this end, instructors should articulate classroom behavior expectations at the beginning of the term and reinforce them as necessary. Instructors are expected to take appropriate and immediate steps to curtail disruptive classroom behavior. Such steps may include speaking to the offending student, asking the offending student to leave the classroom, or calling 911. A guide to help instructors respond to behavioral disruptions is located at: http://www.d.umn.edu/vcaa/faculty_resources.html

B. Deliver a course that is consistent with the course proposal including the course description, content, objectives, and level.

C. Provide information about courses
1. Instructors are responsible for providing accurate and timely information about their courses to enrolled and prospective students and to the university community.
2. Instructors must provide a course syllabus to enrolled students during the first week of classes. This syllabus may be in written or electronic form and should contain information that students need to know in order to complete the course to the best of their abilities. The Syllabus Policy describes the required and recommended content of a syllabus (for example, course description and objectives, academic and conduct expectations, attendance policy, special attendance requirements, university policies related to teaching and learning).
3. The instructor must inform the class in a timely manner if changes to the syllabus information are made.
4. Instructors are encouraged to update the Course Guide to help students make decisions about course registration.

D. Provide students with access to and feedback on their work
1. To help students achieve the course objectives to the best of their abilities, instructors are responsible for regularly evaluating student work, returning student work with clear and constructive feedback, and clarifying this feedback as needed. So that the student can benefit from this feedback, evaluations should be communicated to the student promptly.
2. Instructors are required to provide written feedback to their students by the end of the sixth week to enable students to assess their progress in the course prior to the deadline for withdrawing from the course at the end of the eighth week of the term.
3. Instructors are required to provide Mid-Term Alerts to students in their 1xxx- and 2xxx-level courses who are performing at the D, F, or N level. Instructors are encouraged to provide Mid-Term Alerts for students in other courses as well. The Mid-Term Alert website
is open from the beginning of the sixth week of the semester through the end of the eighth week of the semester. Instructors are encouraged to provide Mid-Term Alerts as early in this period as possible.

4. Instructors must turn in grades within three business days after the final examination.

E. Comply with FERPA data privacy regulations

1. Instructors must be knowledgeable about and comply with regulations governing privacy of student information (FERPA). [http://privacy.ahc.umn.edu/pdf/real_ferpa.pdf]
2. Instructors are responsible for maintaining security of student work including examinations both before and after exams are given.

F. Observe scheduled class times
Instructors are expected to meet their classes at the scheduled times, to be prepared for all class sessions, and to start and end classes at the scheduled times. When instructors know in advance that they will be unable to attend particular class sessions, they are responsible for working with their academic unit to make appropriate alternate arrangements. Instructors should notify their students when unanticipated illness or emergencies prevent them from conducting class (e.g. email, classroom notice).

G. Schedule and observe office hours and appointment times
Instructors shall post a reasonable number of office hours per week at a time convenient for students and shall be available during such hours for the purposes of consultation with students.

H. Report scholastic dishonesty
If a faculty member decides to take action and impose a sanction that affects a student’s grade, the violation must be reported to the UMD Office of Student and Community Standards ([http://www.d.umn.edu/conduct/](http://www.d.umn.edu/conduct/)) responsible for investigating and adjudicating incidents of scholastic dishonesty.

I. Administer student evaluation according to UMD procedures
Instructors are required to administer summative student evaluations in their courses according to UMD Procedures ([http://www.d.umn.edu/vcaa/evals/prot.html](http://www.d.umn.edu/vcaa/evals/prot.html)).

J. Adhere to the UMD Policy on Final Examinations: [http://www.d.umn.edu/vcaa/FinalExams.html](http://www.d.umn.edu/vcaa/FinalExams.html)

II. Student Responsibilities

A. Meet course prerequisites
Students are responsible for meeting the course prerequisites prior to registering for a course unless they have permission from the instructor.

B. Be Informed of and meet all course requirements
Students are responsible for the information contained in the syllabus and for meeting all course requirements, observing all deadlines, examination times, and other course procedures.
C. Attend class
Students are expected to attend all meetings of their courses. Students must attend the first class meeting of every course (e.g. lecture, lab, discussion) in which they are registered unless (a) they obtain approval from the instructor before the first meeting or (b) they provide notice to the instructor they must miss class because of a recognized religious holiday. Otherwise, they may lose their places in class to other students. See Excused Absence Policy: http://www.d.umn.edu/vcaa/ExcusedAbsence.html
Students are responsible for being on time and prepared for all class sessions.

D. Be informed of and abide by UM Board of Regents (UM BOR) and UMD policies
1. Students are responsible for conducting themselves in a manner that (a) is respectful of the instructor and other students in the classroom; (b) is civil in language, tone and behavior; and (c) is receptive to ideas and other points of view. The UM Board of Regents Student Conduct Code describes the behavior expectations of students and applies to all UM students: “Disruptive classroom conduct means engaging in behavior that substantially or repeatedly interrupts either the instructor’s ability to teach or student learning.”
Section V, Subdivision Two of the UM Student Conduct Code
http://www1.umn.edu/regents/policies/academic/Student_Conduct_Code.html

2. Students are required to do their own assigned work. If it is determined that a student has violated either of the following policies:
UM BOR Student Conduct Code: Scholastic Dishonesty
Section III Subd 1
http://www1.umn.edu/regents/policies/academic/Student_Conduct_Code.html
UMD Student Academic Integrity Policy
http://www.d.umn.edu/conduct/integrity/Academic_Integrity_Policy.htm
he or she may be given an "F" or an "N" for the assigned work and/or the course, and may face additional sanctions from the University.

E. Other
1. Students are responsible for seeking academic help in a timely fashion.
2. Students who need disability accommodations are responsible for working first with UMD Disability Resources and then with the instructor at the beginning of the course.
3. Students who have concerns or complaints about a course should first meet with the instructor to articulate their dissatisfaction with and desired improvement in the course. If the issues are not resolved, they should meet with the department head. In unusual circumstances, the department head may be the first level of recourse.
4. Guests may not be brought to class without prior permission from the instructor.

Transfer of Undergraduate Credit
Effective: September 1, 2011
Last Updated: Approved by EPC March 30, 2011; approved by Campus Assembly April 12, 2011
Policy Owner: Academic Affairs
UMD Academic Policies – 2013-2014

1. Institutions from which credit is transferred must have regional accreditation in order for their courses to be considered for transfer to the University. In addition, these factors will apply in evaluating such courses:
   a. The mission of the institution from which credits would be transferred;
   b. The comparability of content, outcomes and nature of the course with University courses; and
   c. The appropriateness of the course work for meeting baccalaureate degree requirements at the University.

2. Decisions about course equivalences will be made by academic programs and be maintained in the Transfer Equivalency System (TES).

3. Courses that meet these factors for transfer may
   a. Be deemed to be equivalent to an existing UMD course;
   b. Transfer to UMD as an elective or non-articulated course DEPT NXXX (e.g. ECON 1XXX, BIOL 2XXX, etc.); or
   c. Transfer to UMD as a category # liberal education elective course DEPT 000# (e.g. PSY 0006, MATH 0002, etc.).

4. The University will accept transfer courses with a D grade or higher.

5. Credits from technical schools may be considered for transfer when appropriate for a student’s degree program. Credit is not normally transferred from specialized or proprietary institutions, military schools, or industry-based education programs.

6. Credit granted by another institution for non-traditional experiences (College Level Examination Program (CLEP), Advanced Placement (AP), International Baccalaureate (IB)) will be re-evaluated for content and comparability.

7. Religious studies courses from public institutions transfer without special review. Religious studies courses from all other institutions will be evaluated by appropriate college or departmental faculty and may transfer if they are not doctrinal, confessional, or sectarian in nature.

8. Students may initiate a Transfer Course Evaluation Request for any course not listed in the TES.

9. Students who transfer to the University with an Associate of Arts (AA) degree or who have completed the Minnesota Transfer Curriculum (MTC) will have fulfilled the University’s entire Liberal Education Program requirement. Courses at the transfer institution used to complete the AA or MTC do not necessarily transfer as equivalent UMD courses.

Undergraduate Admission

Effective: January 3, 2011
Last Updated: Approved by EPC October 13, 2010; approved by Campus Assembly November 9, 2010
UMD Academic Policies – 2013-2014

Policy Owner: Academic Affairs

1. Each college is responsible for proposing to the Admissions Office enrollment targets for the admission of New High School and New Advanced Standing students. These proposed targets are subject to the review and approval of the Executive Vice Chancellor for Academic Affairs. This effort is to be coordinated by the Director of the Office of Admissions.

2. The Educational Policy Committee will approve the criteria and standards that are to be used by the Admissions Office in admitting both New High School and New Advanced Standing students to the college, including college-specific criteria. These standards and criteria are subject to the review and approval of the Executive Vice Chancellor for Academic Affairs.

3. Conditional admission

Students may be admitted conditionally under a contract that communicates both the matriculation and the graduation conditions that are the basis for admission. Such policies apply to students new to the University, not to those transferring within the University.

a. Conditional admission to enroll (begin taking courses toward a degree)
Students are admitted subject to conditions (e.g., that they graduate from high school and others that may be imposed by the University). A student who does not meet the conditions required for admission will have his or her admission revoked.

b. Conditional admission to graduate
Under circumstances established by the University and the student’s admitting college, a student may be permitted to matriculate (or transfer to the University), subject to certain conditions that must be satisfied before the student will be permitted to graduate (e.g., that any deficiencies in satisfying high school preparation requirements are made up). Such graduation conditions are in addition to degree requirements and might not count toward required credits to earn a degree.

DEFINITIONS

Matriculate
The process by which a student accepts the University’s offer for admission and indicates that he or she intends to enroll.

New Advanced Standing (NAS)
Students who have graduated from high school who have previously matriculated at another post-secondary education institution

New High School (NHS)
Students who have graduated from high school but have not previously matriculated to another post-secondary institution. (They may have earned college credits while they were enrolled in high school).
The Board of Regents, on recommendation of the faculty, grants degrees from the University of Minnesota. Requirements for an undergraduate degree from University of Minnesota Duluth include the following:

1. Students must meet all course and credit requirements of the departments and colleges or schools in which they are enrolled including an advanced writing course. Students seeking two degrees must fulfill the requirements of both degrees. However, two degrees cannot be awarded for the same major.

2. Students must complete all requirements of the Liberal Education Program.

3. Students must complete a minimum of 120 semester credits.

4. At least 30 of the last 60 degree credits earned immediately before graduation must be awarded by UMD.

5. Students must complete at least half of their courses at the 3xxx-level and higher at UMD. Study-abroad credits earned through courses taught by UM faculty and at institutions with which UMD has international exchange programs may be used to fulfill this requirement.

6. If a minor is required, students must take at least three upper division credits in their minor field from UMD.

7. The minimum cumulative UM GPA required for graduation will be 2.00 and will include only University of Minnesota coursework. A minimum UM GPA of 2.00 is required in each UMD undergraduate major and minor. No academic unit may impose higher grade point standards to graduate.

8. Diploma, transcripts, and certification will be withheld until all financial obligations to the University have been met.

Withdrawal from the University

Effective: June 24, 2011
Last Updated: June 24, 2011
Policy Owner: Academic Affairs
By registering for classes, students enter into a contract to pay for all tuition and fees. Students are responsible for all transactions on their academic records.

To withdraw from all academic coursework at the University, a student must officially cancel all courses via the Web at [www.d.umn.edu/register](http://www.d.umn.edu/register) through the last day of the eighth week of the semester. After that date, students who believe they have extenuating circumstances may submit a petition to the collegiate Student Affairs Office to withdraw from the University.

**Students Called to Military Duty**
Students who are called to active military duty may withdraw from UMD. The University works with students to remove them from classes and resolve tuition and other financial issues. Students must submit a copy of their military orders and will be asked to complete a retroactive tuition petition. As a general rule, the petition is approved for a 100 percent refund unless there are negative financial aid implications for the student. Students are advised about what would be the most beneficial for their situation.

**Tuition Refund for Withdrawal**
Refunds for withdrawal from the university are the same as for individual course withdrawals: 100 percent tuition and fee refund on or before the end of the first week of the semester; 75 percent on or before the end of the second week; 50 percent on or before the end of the third week; 25 percent on or before the end of the fourth week. No refunds are given after the fourth week. Courses that are shorter in length than the full semester have an abbreviated refund schedule. Withdrawing from courses can have financial and academic implications, possibly affecting billing, financial aid, and VA benefits, etc. The withdrawal could result in debt to the University, government or both. After the fourth week, refunds beyond the published schedule will be granted by appeal only. A Tuition Refund Appeal with relevant documentation should be submitted to the Office of Financial Aid and Registrar.

**Medical Withdrawal**
Appeal for medical withdrawal must include documentation from a licensed medical or mental health professional indicating:
- Date of onset of illness
- Date at which initial treatment was sought
- Diagnosis
- Dates of treatment service
- Severity of illness

**Return after Withdrawal**
Students who petition for medical withdrawal may be asked to present proof of treatment before subsequent registration.